

## DISCRETE $\omega$ -SEQUENCES OF INDEX SETS<sup>(1)</sup>

BY

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**ABSTRACT.** We define a discrete  $\omega$ -sequence of index sets to be a sequence  $\{\theta A_n\}_{n \geq 0}$  of index sets of classes of recursively enumerable sets, such that for each  $n$ ,  $\theta A_{n+1}$  is an immediate successor of  $\theta A_n$  in the partial order of degrees of index sets under one-one reducibility. The main result of this paper is that if  $S$  is any set to which the complete set  $K$  is not Turing-reducible, and  $A^S$  is the class of recursively enumerable subsets of  $S$ , then  $\theta A^S$  is at the bottom of  $c$  discrete  $\omega$ -sequences. It follows that every complete Turing degree contains  $c$  discrete  $\omega$ -sequences.

**Introduction.** Let  $\{W_x\}_{x \geq 0}$  be a standard enumeration of all recursively enumerable (r.e.) sets. If  $A$  is any collection of r.e. sets, the *index set* of  $A$  is  $\{x \mid W_x \in A\}$  and is denoted by  $\theta A$ . If  $\{A_n\}_{n \geq 0}$  is a sequence of classes of r.e. sets, call the sequence  $\{\theta A_n\}_{n \geq 0}$  a *discrete  $\omega$ -sequence* of index sets if

- (a)  $\theta A_n <_1 \theta A_{n+1}$  for each  $n$ , and
- (b) for every class  $B$  of r.e. sets,  $\theta A_n \leq_1 \theta B \leq_1 \theta A_{n+1}$  implies  $\theta B \cong \theta A_n$  or  $\theta B \cong \theta A_{n+1}$ .

That discrete  $\omega$ -sequences exist was proved in [3]; it was shown there that if  $\{Z_m\}_{m \geq 0}$  is the sequence of index sets of nonempty finite classes of finite sets (classified in [4] and, independently, in [2]), then  $\{Z_m\}_{m \geq 0}$  is a discrete  $\omega$ -sequence of index sets. Moreover, it easily follows from the results in [3] that the  $c$  nonisomorphic sequences  $\{Y_m\}_{m \geq 0}$  satisfying  $Y_m = Z_m$  or  $\bar{Z}_m$  for each  $m$  are discrete  $\omega$ -sequences of index sets. In this paper it is shown that discrete  $\omega$ -sequences of index sets occur in great profusion. The fact that the sets  $Z_m$  are index sets of finite classes of finite sets appears not to be relevant; what generalizes is the fact that  $Z_0 = \theta\{\emptyset\} \cong \{x \mid W_x \subseteq S\}$ , where  $S$  is any co-r.e. set. The main results are as follows: (1) if  $K \not\leq_T S$  (where  $K$  denotes Post's complete set) and  $A^S = \{W_x \mid W_x \subseteq S\}$ , then  $\theta A^S$  and  $\theta \bar{A}^S$  are at the bottom of  $c$  discrete  $\omega$ -sequences.

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quences of index sets; (2) every Turing degree  $a > 0'$  contains  $c$  discrete  $\omega$ -sequences; (3)  $0'$  contains  $c$  discrete  $\omega$ -sequences containing no sets recursively isomorphic to  $Z_m$  or  $\bar{Z}_m$  for any  $m$ . We also prove a conjecture made in [3] that there exist sequences  $\{\theta A_m\}_{m \geq 0}$  satisfying  $Z_m <_1 \theta A_m$  and  $\bar{Z}_m \not\leq_1 \theta A_m$ , for each  $m \geq 0$ .

**Notation.** The terminology and notation is that of [6].  $K$  denotes the complete set  $= \{x \mid x \in W_x\}$ .  $N$  denotes the set of natural numbers. For  $X, Y \subseteq N$ ,  $X \times Y$  denotes the recursive Cartesian product, via an effective pairing function  $\langle x, y \rangle$  whose inverses are denoted by  $\pi_1, \pi_2$ ; thus  $z = \langle \pi_1(z), \pi_2(z) \rangle$ .  $\{D_n\}_{n \geq 0}$  is the canonical indexing of finite subsets of  $N$ , with  $D_0 = \emptyset$ . For  $X, Y \subseteq N$ ,  $X \leq Y$  means  $X$  is one-one reducible to  $Y$ . If  $X \leq Y$  and  $Y \leq X$ , we invoke Myhill's isomorphism theorem [5] and write  $X \cong Y$ .  $X \leq_T Y$  means  $X$  is Turing reducible to  $Y$ .  $X \mid Y$  means  $X$  and  $Y$  are 1-1 incomparable.

**0. Required previous results.** We list here for more convenient reference some results of [3] which will be needed. The proofs can be found in [3]. In that paper, for each  $m > 0$ ,  $f_m: N^m \rightarrow N$  denotes a recursive one-one onto map with recursive inverses denoted by  $x_i^m$ ,  $0 \leq i < m$ ; i.e.,  $x = f_m(x_0^m, \dots, x_{m-1}^m)$ . For  $m = 1$ ,  $f_1$  is the identity and  $x_0^1 = x$ .

**Lemma 0.1** (Lemma 10 of [3]). *If  $\bar{A}$  is nonempty, then*

- (a)  $N \in A \rightarrow K \leq \theta A$ ,
- (b)  $\emptyset \in A \rightarrow \bar{K} \leq \theta A$ .

**Definition 0.2** (Definitions 1, 2 of [3]). For each  $x$ , let

$$k_m(x) = \text{cardinality} \{i \mid x_i^{m+1} \in K\}.$$

For each  $n \geq 0$ , let

$$Z_{2n} = \{x \mid k_{2n}(x) \text{ is even}\}, \quad Z_{2n+1} = \{x \mid k_{2n+1}(x) \text{ is odd}\}.$$

Note that since  $x = f_1(x)$ ,  $x \in Z_0 \leftrightarrow x \notin K$ , so that  $Z_0 = \bar{K}$ .

**Lemma 0.3** (Theorem 2 of [3]). *For all  $n \geq 0$ ,*

- (a)  $Z_{n+1} \cong \bar{K} \times \bar{Z}_n$ ,
- (b)  $Z_{2n+1} \cong K \times Z_{2n}$ ,
- (c)  $\bar{Z}_{2n+2} \cong K \times \bar{Z}_{2n+1}$ .

**Lemma 0.4** (Theorem 3(a), (b), (c) of [3]). *For all  $m \geq 0$ ,*

- (a)  $Z_m < Z_{m+1}$ ,  $\bar{Z}_m < \bar{Z}_{m+1}$ ,
- (b)  $Z_m < \bar{Z}_{m+1}$ ,  $\bar{Z}_m < Z_{m+1}$ ,
- (c)  $Z_m \mid \bar{Z}_m$ .

**Lemma 0.5** (From Theorem 5 of [3]). For all  $n \geq 0$ ,

- (a) if  $\theta A \cong Z_n$  then  $N \notin A$ ,
- (b) if  $\theta A \cong \bar{Z}_{2n}$  then  $\emptyset \notin A$ ,
- (c) if  $\theta A \cong \bar{Z}_{2n+1}$  then  $\emptyset \in A$ .

**Lemma 0.6** (Theorem 3 (d), (e) of [3]). For all  $m \geq 0$ ,

- (a) there is no  $A$  satisfying  $Z_m < \theta A < Z_{m+1}$  or  $\bar{Z}_m < \theta A < \bar{Z}_{m+1}$ ,
- (b) there is no  $A$  satisfying  $\bar{Z}_m < \theta A < Z_{m+1}$  or  $Z_m < \theta A < \bar{Z}_{m+1}$ .

**Lemma 0.7** (Lemma 13 of [3]). If  $\theta A \leq K \times \theta B$  and  $\emptyset \in A$ , then  $\theta A \leq \theta B$ .

**Lemma 0.8** (Lemma 14 of [3]). If  $\theta A \leq \bar{K} \times \theta B$  and  $N \in A$ , then  $\theta A \leq \theta B$ .

**Lemma 0.9** (Lemma 15 of [3]). If  $\theta A \leq \theta B \leq K \times \theta A$ , then  $\theta B \cong \theta A$  or  $\theta B \cong K \times \theta A$ .

**Lemma 0.10** (Lemma 16 of [3]). If  $\theta A \leq \theta B \leq \bar{K} \times \theta A$ , then  $\theta B \cong \theta A$  or  $\theta B \cong \bar{K} \times \theta A$ .

**Lemma 0.11** (Lemma 9 of [3]). For all  $A$ ,  $\theta A \not\equiv \bar{\theta A}$ .

### 1. Index sequences.

**Definition 1.1.** Let  $I_n = \{0, 1, \dots, n\}$ ,  $n \geq 0$ ,  $J = \{0, \bar{0}, 1, \bar{1}, 2, \bar{2}\}$  where  $\bar{0}$ ,  $\bar{1}$ ,  $\bar{2}$  are formal symbols introduced for notational purposes. An *index sequence*  $\sigma$  is any function  $\sigma: I_n \rightarrow J$  such that

- (a)  $\sigma(0) \in \{0, \bar{0}\}$ ,
- (b)  $\sigma(i) \in \{1, \bar{1}, 2, \bar{2}\}$  for  $0 < i \leq n$ .

If  $\sigma$  is an index sequence and domain  $\sigma = I_n$ ,  $\sigma$  has length  $n + 1$ , denoted by  $l_\sigma$ . In the following,  $\sigma$  will be freely identified with the concatenation  $\sigma(0) * \sigma(1) * \dots * \sigma(l_\sigma - 1)$  and  $\sigma * i$  will be abbreviated to  $\sigma i$ ,  $i = 1, \bar{1}, 2, \bar{2}$ . In this notation, it is clear that  $0, \bar{0}$  are index sequences, and that  $\sigma i$  is an index sequence  $\leftrightarrow \sigma$  is an index sequence and  $i = 1, \bar{1}, 2, \bar{2}$ .

**Definition 1.2.** If  $\sigma$  is an index sequence, its complementary sequence  $\bar{\sigma}$  is defined inductively as follows:

- (a)  $0, \bar{0}$  are complementary,
- (b)  $\sigma 1$  and  $\bar{\sigma} \bar{1}$  are complementary,
- (c)  $\sigma 2$  and  $\bar{\sigma} \bar{2}$  are complementary.

It is easily seen by induction on  $l_\sigma$  that  $\bar{\bar{\sigma}} = \sigma$  for all index sequences  $\sigma$ .

**Definition 1.3.** Suppose  $S$  is an infinite subset of  $N$ ,  $S = \{s_0, s_1, \dots\}$  in any order,  $s_i \neq s_j$  for  $i \neq j$ . For each index sequence  $\sigma$ , define a corresponding class  $A_\sigma^S$  of r.e. sets inductively on length  $\sigma$ , as follows:

- (a)  $A_0^S = \{W_x \mid W_x \subseteq S\}$ ,  
 (b)  $A_\sigma^S = \overline{A_\sigma^S}$ ,  
 (c) if  $\sigma$  has length  $i + 1$ ,  $i \geq 0$ ,

$$A_{\sigma 1}^S = \{W_x \mid s_i \in W_x \text{ and } W_x \in A_\sigma^S\},$$

$$A_{\sigma 2}^S = \{W_x \mid s_i \notin W_x \text{ and } W_x \in A_\sigma^S\}.$$

Note that  $A_{\sigma 1}^S, A_{\sigma 2}^S \subseteq A_\sigma^S$  for all  $\sigma, S$ .

**Remark.** The classes  $A_\sigma^S$  are defined relative to a given enumeration of  $S$ . The notation makes no explicit reference to the enumeration, since it will shortly be shown that the index sets  $\theta A_\sigma^S$  corresponding to a given  $\sigma$  are unique up to recursive isomorphism.

**Lemma 1.4.** Let  $A$  be any class of r.e. sets, and let  $s \in N$ . Then

- (a) if  $A_1 = \{x \mid s \in W_x \text{ and } W_x \in A\}$  then  $\theta A_1 \leq K \times \theta A$ ,  
 (b) if  $A_2 = \{x \mid s \notin W_x \text{ and } W_x \in A\}$  then  $\theta A_2 \leq \bar{K} \times \theta A$ .

**Proof.** Let  $g(x)$  be a recursive function which computes the index of an r.e. set generated according to the following instructions:

$$\begin{aligned} W_{g(x)} &= \emptyset & \text{if } s \notin W_x, \\ &= N & \text{if } s \in W_x. \end{aligned}$$

Then  $g(x) \in K \leftrightarrow s \in W_x$ . Let  $b(x) = \langle g(x), x \rangle$ . Then

$$\begin{aligned} x \in \theta A_1 &\leftrightarrow s \in W_x \text{ and } W_x \in A \\ &\leftrightarrow g(x) \in K \text{ and } x \in \theta A \\ &\leftrightarrow b(x) \in K \times \theta A, \end{aligned}$$

and

$$\begin{aligned} x \in \theta A_2 &\leftrightarrow s \notin W_x \text{ and } W_x \in A \\ &\leftrightarrow g(x) \in \bar{K} \text{ and } x \in \theta A \\ &\leftrightarrow b(x) \in \bar{K} \times \theta A. \end{aligned}$$

So  $\theta A_1 \leq K \times \theta A$  and  $\theta A_2 \leq \bar{K} \times \theta A$ , both via  $b$ . (As usual, we need not bother to make  $b$  one-one, since all sets in question are index sets and thus cylinders [6].)

**Lemma 1.5.** Let  $S$  be any infinite subset of  $N$ ,  $S = \{s_0, s_1, \dots\}$ . Let  $S_0 = \emptyset$ ,  $S_i = \{s_0, s_1, \dots, s_{i-1}\}$  for  $i \geq 1$ . If  $\sigma$  is an index sequence,  $l_\sigma = i + 1$ ,  $i \geq 0$  and  $T$  is any finite subset of  $S - S_i$ , then

$$W_x \in A_\sigma^S \leftrightarrow W_x \cup T \in A_\sigma^S \leftrightarrow W_x - T \in A_\sigma^S.$$

**Proof.** By induction on  $i$ . It suffices to prove the result for the cases when  $\sigma = 0, \tau 1$  or  $\tau 2$ . The complementary cases follow by symmetry since, e.g.,  $W_x \in A_{\tau 1}^S \leftrightarrow W_x \notin \overline{A_{\tau 1}^S} = A_{\tau 1}^S$ . If  $i = 0$  then  $l_\sigma = 1$ , so  $\sigma = 0$  and  $T$  is any finite subset of  $S - S_0 = S$ . Since  $A_0^S = \{W_x \mid W_x \subseteq S\}$ , it is clear that

$$W_x \in A_0^S \leftrightarrow W_x \subseteq S \leftrightarrow W_x \cup T \subseteq S \leftrightarrow W_x - T \subseteq S.$$

Now assume the lemma holds for all  $\tau$  of length  $i + 1$  and let  $l_\sigma = i + 2$ ,  $T \subset S - S_{i+1}$ ; then  $\sigma = \tau 1$  or  $\tau 2$  where  $\tau$  has length  $i + 1$ . But  $S_i \subset S_{i+1}$  implies  $T \subset S - S_{i+1} \subset S - S_i$  so, by the induction hypothesis,

$$W_x \in A_\tau^S \leftrightarrow W_x \cup T \in A_\tau^S \leftrightarrow W_x - T \in A_\tau^S.$$

Also,  $s_i \in S_{i+1}$  implies  $s_i \notin T$ , so

$$s_i \in W_x \leftrightarrow s_i \in W_x \cup T \leftrightarrow s_i \in W_x - T.$$

These two sets of equivalences imply

$$\begin{aligned} s_i \in W_x \text{ and } W_x \in A_\tau^S &\leftrightarrow s_i \in W_x \cup T \text{ and } W_x - T \in A_\tau^S \\ &\leftrightarrow s_i \in W_x - T \text{ and } W_x - T \in A_\tau^S \end{aligned}$$

and

$$\begin{aligned} s_i \notin W_x \text{ and } W_x \in A_\tau^S &\leftrightarrow s_i \notin W_x \cup T \text{ and } W_x \cup T \in A_\tau^S \\ &\leftrightarrow s_i \notin W_x - T \text{ and } W_x - T \in A_\tau^S. \end{aligned}$$

Now if  $\sigma = \tau 1$ ,  $A_\sigma^S = \{x \mid s_i \in W_x \text{ and } W_x \in A_\tau^S\}$  while if  $\sigma = \tau 2$ ,  $A_\sigma^S = \{x \mid s_i \notin W_x \text{ and } W_x \in A_\tau^S\}$ . In either case, it follows that

$$W_x \in A_\sigma^S \leftrightarrow W_x \cup T \in A_\sigma^S \leftrightarrow W_x - T \in A_\sigma^S.$$

**Lemma 1.6.** *If  $S$  is any infinite set and  $\sigma$  any index sequence of length  $i > 0$ , then*

$$K \times \theta A_\sigma^S \leq \theta A_{\sigma 1}^S.$$

**Proof.**  $A_{\sigma 1}^S = \{W_x \mid s_i \in W_x \text{ and } W_x \in A_\sigma^S\}$ . Let  $h$  be a recursive function which computes the index of an r.e. set generated according to the following instructions:

Let

$$\begin{aligned}
 W_{b(x)} &= \emptyset && \text{if } \pi_1(x) \notin K, \\
 &= W_{\pi_2(x)} \cup \{s_i\} && \text{if } \pi_1(x) \in K.
 \end{aligned}$$

Then

$$\begin{aligned}
 b(x) \in \theta A_{\sigma 1}^S &\leftrightarrow s_i \in W_{b(x)} \text{ and } W_{b(x)} \in A_{\sigma}^S \\
 &\leftrightarrow \pi_1(x) \in K \text{ and } W_{b(x)} = W_{\pi_2(x)} \cup \{s_i\} \in A_{\sigma}^S.
 \end{aligned}$$

Since  $s_i \in S - S_i$ , Lemma 1.5 implies that

$$W_{\pi_2(x)} \cup \{s_i\} \in A_{\sigma}^S \leftrightarrow W_{\pi_2(x)} \in A_{\sigma}^S;$$

so  $b(x) \in \theta A_{\sigma 1}^S \leftrightarrow \pi_1(x) \in K$  and  $W_{\pi_2(x)} \in A_{\sigma}^S$ , and  $K \times \theta A_{\sigma}^S \leq \theta A_{\sigma 1}^S$  via  $b$ .

**Lemma 1.7.** *If  $S$  is any infinite set and  $\sigma$  any index sequence of length  $i > 0$ , then  $\bar{K} \times \theta A_{\sigma}^S \leq \theta A_{\sigma 2}^S$ .*

**Proof.**  $A_{\sigma 2}^S = \{W_x \mid s_i \notin W_x \text{ and } W_x \in A_{\sigma}^S\}$ . Let  $b$  be a recursive function which computes the index of an r.e. set generated according to the following instructions:

$$\begin{aligned}
 W_{b(x)} &= W_{\pi_2(x)} - \{s_i\} && \text{if } \pi_1(x) \notin K, \\
 &= N && \text{if } \pi_2(x) \in K.
 \end{aligned}$$

Then

$$\begin{aligned}
 b(x) \in \theta A_{\sigma 2}^S &\leftrightarrow s_i \notin W_{b(x)} \text{ and } W_{b(x)} \in A_{\sigma}^S \\
 &\leftrightarrow \pi_1(x) \notin K \text{ and } W_{b(x)} = W_{\pi_2(x)} - \{s_i\} \in A_{\sigma}^S \\
 &\leftrightarrow \pi_1(x) \notin K \text{ and } W_{\pi_2(x)} \in A_{\sigma}^S,
 \end{aligned}$$

using Lemma 1.5 as in the previous lemma. So  $\bar{K} \times \theta A_{\sigma}^S \leq \theta A_{\sigma 2}^S$  via  $b$ .

**Definition 1.8.** If  $S$  is any infinite set and  $\sigma$  any index sequence, let

$$X_{\sigma}^S = \theta A_{\sigma}^S, \quad X_{\bar{\sigma}}^S = \theta A_{\bar{\sigma}}^S = \overline{\theta A_{\sigma}^S} = \overline{X_{\sigma}^S}.$$

**Lemma 1.9.** *For all infinite sets  $S$  and all index sequences  $\sigma$ ,*

- (a)  $X_{\sigma 1}^S \cong K \times X_{\sigma}^S$ ,
- (b)  $X_{\sigma 2}^S \cong \bar{K} \times X_{\sigma}^S$ ,
- (c)  $X_{\sigma}^S \leq X_{\sigma i}^S$ ,  $i = 1, \bar{1}, 2, \bar{2}$ .

**Proof.** By the definitions of  $A_{\sigma 1}^S$  and  $A_{\sigma 2}^S$ , Lemma 1.4 implies  $X_{\sigma 1}^S \leq K \times X_{\sigma}^S$  and  $X_{\sigma 2}^S \leq \bar{K} \times X_{\sigma}^S$ . That  $K \times X_{\sigma}^S \leq X_{\sigma 1}^S$  and  $\bar{K} \times X_{\sigma}^S \leq X_{\sigma 2}^S$  is given by Lemmas 1.6 and 1.7. It follows immediately that  $X_{\sigma}^S \leq X_{\sigma i}^S$  if  $i = 1, 2$ . For  $i = \bar{1}, \bar{2}$ ,  $X_{\sigma i}^S = \overline{X_{\sigma \bar{i}}^S}$ , where  $\bar{i} = 1, 2$  so  $X_{\sigma}^S \leq X_{\sigma \bar{i}}^S$  which implies  $X_{\sigma}^S = \overline{X_{\sigma \bar{i}}^S} \leq X_{\sigma i}^S$ .

**Remark.** Lemma 1.9 justifies the claim made after Definition 1.3 that the sets  $\theta A_{\sigma}^S$  obtained from different enumerations of the set  $S$  are recursively isomorphic. For  $l_{\sigma} = 1$  the sets  $\theta A_{\sigma}^S$  depend only on  $S$ , and for  $l_{\sigma} > 1$ , the isomorphism is easily obtained by induction, using Lemma 1.9 (a) and (b).

**Lemma 1.10.** Let  $S$  be any infinite set  $\subseteq N$ . If  $\sigma$  is any index sequence, then  $X_{\sigma}^S$  is in the bounded truth-table degree of  $X_0^S = \theta A_0^S$ .

**Proof.** By induction on  $l_{\sigma}$ . If  $\sigma = 0$  or  $\bar{0}$ ,  $X_{\sigma}^S = X_0^S$  or  $X_{\bar{0}}^S$ , so  $X_{\sigma}^S \equiv_{\text{btt}} X_0^S$ . Assume  $l_{\sigma} = n + 1$  and that the result holds for all  $\tau$  such that  $l_{\tau} \leq n$ . Then by Definition 1.1,  $\sigma = \tau i$  for some  $i = 1, \bar{1}, 2$  or  $\bar{2}$  and  $\tau$  such that  $X_{\tau}^S \equiv_{\text{btt}} X_0^S$ . So it suffices to show that  $X_{\tau}^S \equiv_{\text{btt}} X_{\tau i}^S$ .

*Case 1.*  $i = 1$  or  $2$ . By Lemma 1.9,  $X_{\tau i}^S \cong K \times X_{\tau}^S$  or  $\bar{K} \times X_{\tau}^S$ . In either case,  $X_{\tau}^S \leq X_{\tau i}^S$  so  $X_{\tau}^S \leq_{\text{btt}} X_{\tau i}^S$ . To show  $X_{\tau i}^S \leq_{\text{btt}} X_{\tau}^S$  it suffices to have  $K, \bar{K} \leq_{\text{btt}} X_{\tau}^S$ . But by Lemma 0.1, since  $S$  and thus each  $A_{\tau}^S$  is nontrivial,  $K \leq X_{\tau}^S$  or  $\bar{K} \leq X_{\tau}^S$ . In either case,  $K, \bar{K} \leq_{\text{btt}} X_{\tau}^S$  and  $X_{\tau i}^S \leq_{\text{btt}} X_{\tau}^S$ .

*Case 2.*  $i = \bar{1}$  or  $\bar{2}$ . Then  $X_{\tau i}^S = \overline{X_{\tau \bar{i}}^S}$  where  $\bar{i} = 1$  or  $2$ , so by Case 1,  $X_{\tau \bar{i}}^S \equiv_{\text{btt}} X_{\tau}^S = \overline{X_{\tau}^S}$ . So by complementation,  $X_{\tau i}^S \equiv_{\text{btt}} X_{\tau}^S$ .

**Definition 1.11.** Let  $R$  be any (fixed) nonempty r.e. set such that  $\bar{R}$  is infinite. The sets  $X_{\sigma}^{\bar{R}}$  will be denoted by  $Y_{\sigma}$ .

**Lemma 1.12.**  $Y_0 \leq \bar{K}$ .

**Proof.**  $Y_0 = \{x \mid W_x \subseteq \bar{R}\}$ , so  $\bar{Y}_0 = \{x \mid W_x \cap R \neq \emptyset\}$  which is r.e., since  $R$  is assumed to be r.e. So  $\bar{Y}_0 \leq K$  and  $Y_0 \leq \bar{K}$ .

**Lemma 1.13.** Let  $S$  be any infinite set  $\subseteq N$ . Then

(a)  $\bar{K} \leq X_0^S$ ,

(b) for all index sequences  $\sigma$ ,  $Y_{\sigma} \leq X_{\sigma}^S$ .

**Proof.** (a)  $A_0^S = \{W_x \mid W_x \subseteq S\}$  so  $\emptyset \in A_0^S$  and  $N \in \overline{A_0^S}$ , so by Lemma 0.1,  $\bar{K} \leq \theta A_0^S = X_0^S$ .

(b) By induction on  $l_{\sigma}$ . By Lemma 1.12 and part (a),  $Y_0 \leq X_0^S$  and, complementing,  $Y_{\bar{0}} = \bar{Y}_0 \leq X_0^S$ . Now assume the lemma holds for all  $\tau$  of length  $k > 0$  and let  $l_{\sigma} = k + 1$ . Then  $\sigma = \tau 1, \tau 2, \tau \bar{1}$  or  $\tau \bar{2}$  for some  $\tau$  with  $l_{\tau} = k$ . By the in-

duction hypothesis,  $Y_r \leq X_r^S$  which implies  $K \times Y_r \leq K \times X_r^S$  and  $\bar{K} \times Y_r \leq \bar{K} \times X_r^S$ . If  $\sigma = r1$ , then by Lemma 1.9(a),  $Y_\sigma \cong K \times Y_r \leq K \times X_r^S \cong X_\sigma^S$ ; if  $\sigma = r2$ , then by Lemma 1.9(b),  $Y_\sigma \cong \bar{K} \times Y_r \leq \bar{K} \times X_r^S = X_\sigma^S$ . So if  $\sigma = r1$  or  $r2$ ,  $Y_\sigma \leq X_\sigma^S$ . If  $\bar{\sigma} = r\bar{1}$  or  $r\bar{2}$ , the result follows by complementation, since  $\bar{\sigma} = r\bar{1}$  or  $r\bar{2}$  where  $l_{\bar{r}} = k$ , so that  $Y_{\bar{\sigma}} \leq X_{\bar{\sigma}}^S$  which implies  $Y_\sigma \leq X_\sigma^S$ .

**Remark.** Lemma 1.13 justifies the lack of reference to  $R$  in the notation  $Y_\sigma$ , since if  $R'$  is any other nonempty r.e. set with  $\bar{R}'$  infinite, it follows that  $Y_\sigma^R \leq X_{\bar{\sigma}}^{\bar{R}'} = Y_\sigma^{R'}$  and  $Y_\sigma^{R'} \leq X_\sigma^{\bar{R}} = Y_\sigma^R$ . Thus for every index sequence  $\sigma$ ,  $Y_\sigma^R \cong Y_\sigma^{R'}$ , so  $Y_\sigma$  is independent of the choice of  $R$ .

## 2. Acceptable index sequences.

**Definition 2.1.** The subset  $\mathcal{Q}$  of *acceptable* index sequences is defined inductively as follows.

(a)  $0, \bar{0} \in \mathcal{Q}$ .

(b) if  $l_\sigma$  is odd,

$$\sigma 1 \in \mathcal{Q} \leftrightarrow \sigma = 0 \text{ or } \sigma = r\bar{1} \text{ or } r2 \text{ for some } r \in \mathcal{Q},$$

$$\sigma 2 \in \mathcal{Q} \leftrightarrow \sigma = \bar{0} \text{ or } \sigma = r1 \text{ or } r\bar{2} \text{ for some } r \in \mathcal{Q},$$

$$\sigma \bar{1} \in \mathcal{Q} \leftrightarrow \sigma = \bar{0} \text{ or } \sigma = r1 \text{ or } r\bar{2} \text{ for some } r \in \mathcal{Q},$$

$$\sigma \bar{2} \in \mathcal{Q} \leftrightarrow \sigma = 0 \text{ or } \sigma = r\bar{1} \text{ or } r2 \text{ for some } r \in \mathcal{Q},$$

(c) if  $l_\sigma$  is even,

$$\sigma 1 \in \mathcal{Q} \leftrightarrow \sigma = r\bar{1} \text{ or } r\bar{2} \text{ for some } r \in \mathcal{Q},$$

$$\sigma 2 \in \mathcal{Q} \leftrightarrow \sigma = r\bar{1} \text{ or } r\bar{2} \text{ for some } r \in \mathcal{Q},$$

$$\sigma \bar{1} \in \mathcal{Q} \leftrightarrow \sigma = r1 \text{ or } r2 \text{ for some } r \in \mathcal{Q},$$

$$\sigma \bar{2} \in \mathcal{Q} \leftrightarrow \sigma = r1 \text{ or } r2 \text{ for some } r \in \mathcal{Q}.$$

It is clear that if  $\sigma \in \mathcal{Q}$ ,

$l_\sigma$  odd  $\rightarrow$  one of  $\sigma, \bar{\sigma}$  must have form

$$0, r\bar{1}1, r\bar{2}1, r\bar{1}2 \text{ or } r\bar{2}2 \text{ for some } r \in \mathcal{Q},$$

$l_\sigma$  even  $\rightarrow$  one of  $\sigma, \bar{\sigma}$  must have form

$$01, \bar{0}2, r\bar{1}1, r21, r12 \text{ or } r\bar{2}2 \text{ for some } r \in \mathcal{Q}.$$

We note for later use that for each  $\sigma \in \mathcal{Q}$ , there are exactly two ways to extend  $\sigma$  to a sequence  $\sigma i \in \mathcal{Q}$ .

**Lemma 2.2.** Let  $S$  be any infinite set  $\subseteq N$  and let  $\sigma \in \mathcal{Q}$ . Then

(a) if  $l_\sigma$  is odd,



$$\sigma = 0 \text{ or } \tau\bar{1} \text{ or } \tau 2 \rightarrow \emptyset \in A_\sigma^S \text{ and } N \notin A_\sigma^S,$$

$$\sigma = \bar{0} \text{ or } \tau 1 \text{ or } \tau\bar{2} \rightarrow \emptyset \notin A_\sigma^S \text{ and } N \in A_\sigma^S.$$

(b) if  $l_\sigma$  is even,

$$\sigma = \tau 1 \text{ or } \tau 2 \rightarrow \emptyset \notin A_\sigma^S \text{ and } N \notin A_\sigma^S,$$

$$\sigma = \tau\bar{1} \text{ or } \tau\bar{2} \rightarrow \emptyset \in A_\sigma^S \text{ and } N \in A_\sigma^S.$$

**Proof.** If  $\sigma = 0$ ,  $A_\sigma^S = \{W_x \mid W_x \subseteq S\}$ , so clearly  $\emptyset \in A_\sigma^S$  and  $N \notin A_\sigma^S$ . If  $\sigma = \bar{0}$ ,  $A_\sigma^S = \overline{A_0^S}$ , so  $\emptyset \notin A_\sigma^S$  and  $N \in A_\sigma^S$ . Now assume the lemma holds for all  $\tau$  such that  $1 \leq l_\tau < l_\sigma$ .

Case 1.  $l_\sigma = 2i + 2$ .

Subcase 1.1.  $\sigma = \tau 1$  for some  $\tau \in \mathcal{Q}$ . Then by Definition 2.1,  $\tau = 0$  or  $\lambda\bar{1}$  or  $\lambda 2$  for some  $\lambda \in \mathcal{Q}$ . By the induction hypothesis, since  $l_\tau = 2i + 1$ ,  $N \notin A_\tau^S$ . By Definition 1.3,  $A_\sigma^S = \{W_x \mid s_{2i} \in W_x \text{ and } W_x \in A_\tau^S\}$ . Clearly  $\emptyset \notin A_\sigma^S$  and  $N \notin A_\tau^S$  implies  $N \notin A_\sigma^S$ , since  $A_\sigma^S = A_{\tau 1}^S \subseteq A_\tau^S$ .

Subcase 1.2.  $\sigma = \tau 2$  for some  $\tau \in \mathcal{Q}$ . Then by Definition 2.1,  $\tau = \bar{0}$  or  $\lambda 1$  or  $\lambda\bar{2}$  for some  $\lambda \in \mathcal{Q}$ . By the induction hypothesis, since  $l_\tau = 2i + 1$ ,  $\emptyset \notin A_\tau^S$ . By Definition 1.3,  $A_\sigma^S = \{W_x \mid s_{2i} \notin W_x \text{ and } W_x \in A_\tau^S\}$ . Clearly  $N \notin A_\sigma^S$ , and  $\emptyset \notin A_\tau^S \rightarrow \emptyset \notin A_\sigma^S$ , since  $A_\sigma^S = A_{\tau 2}^S \subseteq A_\tau^S$ .

Subcase 1.3.  $\sigma = \tau\bar{1}$  or  $\tau\bar{2}$  for some  $\tau \in \mathcal{Q}$ . The result follows by complementation from the other subcases since  $\bar{\sigma} = \tau 1$  or  $\tau 2$  and  $\emptyset, N \in A_\sigma^S \leftrightarrow \emptyset, N \notin A_{\bar{\sigma}}^S$ .

Case 2.  $l_\sigma = 2i + 3$ .

Subcase 2.1.  $\sigma = \tau 1$  for some  $\lambda \in \mathcal{Q}$ . Then by Definition 2.1,  $\tau = \lambda\bar{1}$  or  $\lambda\bar{2}$  for some  $\lambda \in \mathcal{Q}$ , and by the induction hypothesis, since  $l_\tau = 2i + 2$ ,  $N \in A_\tau^S$ . By Definition 1.3,  $A_\sigma^S = \{W_x \mid s_{2i+1} \in W_x \text{ and } W_x \in A_\tau^S\}$ . Clearly  $\emptyset \notin A_\sigma^S$  and, since  $N \in A_\tau^S$  and  $s_{2i+1} \in N$ ,  $N \in A_\sigma^S$ .

Subcase 2.2.  $\sigma = \tau 2$  for some  $\tau \in \mathcal{Q}$ . Then by Definition 2.1,  $\tau = \lambda 2$  for some  $\lambda \in \mathcal{Q}$ , and by the induction hypothesis, since  $l_\tau = 2i + 2$ ,  $\emptyset \in A_\tau^S$ . By Definition 1.3,  $A_\sigma^S = \{W_x \mid s_{2i+1} \notin W_x \text{ and } W_x \in A_\tau^S\}$ . Clearly  $N \notin A_\tau^S$  and, since  $\emptyset \in A_\tau^S$  and  $s_{2i+1} \notin \emptyset$ ,  $\emptyset \in A_\sigma^S$ .

Subcase 2.3.  $\sigma = \tau\bar{1}$  or  $\tau\bar{2}$  for some  $\tau \in \mathcal{Q}$ . By complementation from Subcases 2.2 and 2.3.

**Lemma 2.3.** Let  $\sigma \in \mathcal{Q}$ . Then

$$(a) \sigma = 0 \rightarrow Y_\sigma \cong Z_0,$$

$$\sigma = \bar{0} \rightarrow Y_\sigma \cong \bar{Z}_0.$$

(b) If  $l_\sigma = 2n + 2$ , then

$$\sigma = \tau 1 \text{ or } \tau 2 \rightarrow Y_\sigma \cong Z_{2n+1},$$

$$\sigma = \tau \bar{1} \text{ or } \tau \bar{2} \rightarrow Y_\sigma \cong Z_{2n+1}.$$

(c) If  $l_\sigma = 2n + 3$ , then

$$\sigma = \tau \bar{1} \text{ or } \tau 2 \rightarrow Y_\sigma \cong Z_{2n+2},$$

$$\sigma = \tau 1 \text{ or } \tau \bar{2} \rightarrow Y_\sigma \cong \bar{Z}_{2n+2}.$$

**Proof.** By induction on  $l_\sigma$ . If  $l_\sigma = 1$  then  $\sigma = 0$  or  $\bar{0}$ . By Lemma 1.12,  $Y_0 \leq \bar{K}$  and by Lemma 1.13 (a),  $\bar{K} \leq X_0^{\bar{K}} = Y_0$ . So  $Y_0 \cong \bar{K} = Z_0$ , by Definition 0.2, and  $Y_{\bar{0}} = \bar{Y}_0 \cong \bar{Z}_0$ . Now assume the results hold for all  $\tau \in \mathcal{Q}$  such that  $1 \leq l_\tau < l_\sigma$ .

Case 1.  $l_\sigma = 2n + 2$ .

Subcase 1.1.  $\sigma = \tau 1$  or  $\tau \bar{1}$  for some  $\tau \in \mathcal{Q}$ . By Definition 2.1,  $\tau 1 \in \mathcal{Q} \leftrightarrow \tau = 0$  or  $\lambda \bar{1}$  or  $\lambda 2$  for some  $\lambda \in \mathcal{Q}$ . By the induction hypothesis, since  $l_\tau = 2n + 1$ ,  $Y_\tau \cong Z_{2n}$ . Then by Lemmas 1.9 and 0.3  $Y_{\tau 1} \cong K \times Y_\tau \cong K \times Z_{2n} \cong Z_{2n+1}$ . Replacing  $\tau$  by  $\bar{\tau}$  in this argument gives  $Y_{\bar{\tau} 1} \cong Z_{2n+1}$ , so  $Y_{\tau \bar{1}} = \bar{Y}_{\bar{\tau} 1} \cong \bar{Z}_{2n+1}$ .

Subcase 1.2.  $\sigma = \tau 2$  or  $\tau \bar{2}$  for some  $\tau \in \mathcal{Q}$ . By Definition 2.1,  $\tau 2 \in \mathcal{Q} \leftrightarrow \tau = \bar{0}$  or  $\lambda 1$  or  $\lambda \bar{2}$  for some  $\lambda \in \mathcal{Q}$ . By the induction hypothesis,  $Y_\tau \cong \bar{Z}_{2n}$ , so by Lemmas 1.9 and 0.3,  $Y_{\tau 2} \cong \bar{K} \times Y_\tau \cong \bar{K} \times \bar{Z}_{2n} \cong Z_{2n+1}$ . Similarly,  $Y_{\bar{\tau} 2} \cong Z_{2n+1}$ , so  $Y_{\tau \bar{2}} = \bar{Y}_{\bar{\tau} 2} \cong \bar{Z}_{2n+1}$ .

Case 2.  $l_\sigma = 2n + 3$ .

Subcase 2.1.  $\sigma = \tau 1$  or  $\tau \bar{1}$  for some  $\tau \in \mathcal{Q}$ . By Definition 2.1,  $\tau 1 \in \mathcal{Q} \leftrightarrow \tau = \lambda \bar{1}$  or  $\lambda \bar{2}$  for some  $\lambda \in \mathcal{Q}$ . By the induction hypothesis,  $Y_\tau \cong \bar{Z}_{2n+1}$ . Then by Lemmas 1.9 and 0.3,  $Y_{\tau 1} \cong K \times Y_\tau \cong K \times \bar{Z}_{2n+1} \cong \bar{Z}_{2n+2}$ . Similarly,  $Y_{\bar{\tau} 1} \cong \bar{Z}_{2n+2}$ , so  $Y_{\tau \bar{1}} = \bar{Y}_{\bar{\tau} 1} \cong Z_{2n+2}$ .

Subcase 2.2.  $\sigma = \tau 2$  or  $\tau \bar{2}$  for some  $\tau \in \mathcal{Q}$ . By Definition 2.1,  $\tau 2 \in \mathcal{Q} \leftrightarrow \tau = \lambda \bar{1}$  or  $\lambda \bar{2}$  for some  $\lambda \in \mathcal{Q}$ . By the induction hypothesis,  $Y_\tau \cong \bar{Z}_{2n+1}$ , so by Lemmas 1.9 and 0.3,  $Y_{\tau 2} \cong \bar{K} \times Y_\tau \cong \bar{K} \times \bar{Z}_{2n+1} \cong Z_{2n+2}$ . Similarly,  $Y_{\bar{\tau} 2} \cong Z_{2n+2}$ , so  $Y_{\tau \bar{2}} = \bar{Y}_{\bar{\tau} 2} \cong \bar{Z}_{2n+2}$ .

**Lemma 2.4.** (a) If  $\sigma \in \mathcal{Q}$  then  $Y_\sigma \cong Z_{l_\sigma - 1}$  or  $\bar{Z}_{l_\sigma - 1}$ .

(b) If  $\sigma, \tau \in \mathcal{Q}$  and  $l_\tau < l_\sigma$ , then  $Y_\tau < Y_\sigma$ .

**Proof.** (a) follows from Lemma 2.3, since the various cases exhaust  $\mathcal{Q}$ . For (b), assume  $l_\tau = m + 1$  and  $l_\sigma = n + 1$  for  $m < n$ . Then by (a),  $Y_\tau \cong Z_m$  or  $\bar{Z}_m$  and  $Y_\sigma \cong Z_n$  or  $\bar{Z}_n$ . Then by Lemma 0.4,  $Y_\tau < Z_{m+1} \leq Z_n$  and  $Y_\tau < \bar{Z}_{m+1} < \bar{Z}_n$ . Thus in any case  $Y_\tau < Y_\sigma$ .

**Lemma 2.5.** For all  $m, n$ ,

(a)  $Z_m \not\cong \bar{Z}_n$ ,

(b)  $m \neq n \rightarrow Z_m \not\cong Z_n$ .

**Proof.** By Lemma 0.4,  $m < n \rightarrow Z_m < \bar{Z}_{m+1} < \bar{Z}_n$ , and  $m = n \rightarrow Z_m \mid \bar{Z}_n$ . Thus in either case (a) holds. Lemma 0.4 also implies (b), since, e.g.,  $m < n \rightarrow Z_m < Z_{m+1} \leq Z_n$ .

**Lemma 2.6.** Let  $\sigma \in \mathcal{Q}$ . Then

- (a)  $Y_\sigma \cong Z_0 \rightarrow \sigma = 0$ ,
- (b)  $Y_\sigma \cong Z_{2n+1} \rightarrow l_\sigma = 2n + 2$  and  $\sigma = r1$  or  $r2$  for some  $r \in \mathcal{Q}$ ,
- (c)  $Y_\sigma \cong Z_{2n+2} \rightarrow l_\sigma = 2n + 3$  and  $\sigma = r\bar{1}$  or  $r\bar{2}$  for some  $r \in \mathcal{Q}$ .

**Proof.** (a) Assume  $\sigma \neq 0$ . Then  $\sigma = \bar{0}$  or  $r_i$  for some  $r \in \mathcal{Q}$ ,  $i = 1, 2, \bar{1}$  or  $\bar{2}$ . By Lemma 2.3, this implies  $Y_\sigma = Z_{\bar{0}}$  or  $Y_\sigma = Z_m$  or  $\bar{Z}_m$  for some  $m > 0$ . In any case, by Lemma 2.5,  $Y_\sigma \not\cong Z_0$ .

(b) Let  $m = l_\sigma - 1$ . If  $l_\sigma \neq 2n + 2$ , then  $m \neq 2n + 1$  and, by Lemma 2.4(a),  $Y_\sigma \cong Z_m$  or  $\bar{Z}_m$ . By Lemma 2.5, this implies  $Y_\sigma \not\cong Z_{2n+1}$ . If  $l_\sigma = 2n + 2$  but  $\sigma \neq r1$  or  $r2$  for some  $r \in \mathcal{Q}$ , then  $\sigma = r\bar{1}$  or  $r\bar{2}$ . Then by Lemma 2.3(b),  $Y_\sigma \cong \bar{Z}_{2n+1} \not\cong Z_{2n+1}$ .

(c) Let  $m = l_\sigma - 1$ . If  $l_\sigma \neq 2n + 3$ , then  $m \neq 2n + 2$  and, by Lemma 2.4(a),  $Y_\sigma \cong Z_m$  or  $\bar{Z}_m$ . So by Lemma 2.5,  $Y_\sigma \not\cong Z_{2n+2}$ . If  $l_\sigma = 2n + 3$  but  $\sigma \neq r\bar{1}$  or  $r\bar{2}$  for some  $r \in \mathcal{Q}$  then  $\sigma = r1$  or  $r\bar{2}$ , so by Lemma 2.3(c),  $Y_\sigma \cong \bar{Z}_{2n+2} \not\cong Z_{2n+2}$ .

**Theorem 2.7.** Let  $\sigma \in \mathcal{Q}$ . Then

- (a)  $Y_\sigma \cong Z_0 \leftrightarrow \sigma = 0$ ,
- (b)  $Y_\sigma \cong \bar{Z}_0 \leftrightarrow \sigma = \bar{0}$ ,
- (c)  $Y_\sigma \cong Z_{2n+1} \leftrightarrow l_\sigma = 2n + 2$  and  $\sigma = r1$  or  $r2$  for some  $r \in \mathcal{Q}$ ,
- (d)  $Y_\sigma \cong \bar{Z}_{2n+1} \leftrightarrow l_\sigma = 2n + 2$  and  $\sigma = r\bar{1}$  or  $r\bar{2}$  for some  $r \in \mathcal{Q}$ ,
- (e)  $Y_\sigma \cong Z_{2n+2} \leftrightarrow l_\sigma = 2n + 3$  and  $\sigma = r\bar{1}$  or  $r\bar{2}$  for some  $r \in \mathcal{Q}$ ,
- (f)  $Y_\sigma \cong \bar{Z}_{2n+2} \leftrightarrow l_\sigma = 2n + 3$  and  $\sigma = r1$  or  $r\bar{2}$  for some  $r \in \mathcal{Q}$ .

**Proof.** (a), (c) and (e) follow from Lemmas 2.3 and 2.6. The other parts are obtained by complementation, since  $l_\sigma = l_{\bar{\sigma}}$ ,  $\overline{ri} = \bar{r}\bar{i}$  and  $Y_\sigma \cong Z_m \leftrightarrow Y_{\bar{\sigma}} \cong \bar{Z}_m$ .

**Lemma 2.8.** If  $\sigma i, \sigma j \in \mathcal{Q}$  ( $i, j = 1, 2, \bar{1}$  or  $\bar{2}$ ) then  $i \neq j \rightarrow Y_{\sigma i} \cong \bar{Y}_{\sigma j}$ .

**Proof.** Assume  $i \neq j$  and  $\sigma i, \sigma j \in \mathcal{Q}$ .

*Case 1.*  $l_\sigma = 2n + 1$ . If  $\sigma = 0$  or  $r\bar{1}$  or  $r2$  for some  $r \in \mathcal{Q}$ , then, by Definition 2.1,  $\sigma i, \sigma j \in \mathcal{Q} \leftrightarrow i, j = 1$  or  $\bar{2}$ , say  $i = 1$  and  $j = \bar{2}$ . Since  $l_{\sigma i} = l_{\sigma j} = 2n + 2$ , it follows by Theorem 2.7 that  $Y_{\sigma i} \cong Z_{2n+1}$  and  $Y_{\sigma j} \cong \bar{Z}_{2n+1}$ , so  $Y_{\sigma i} \cong \bar{Y}_{\sigma j}$ . If  $\sigma = \bar{0}$  or  $r1$  or  $r\bar{2}$ , the result follows by consideration of complements.

*Case 2.*  $l_\sigma = 2n + 2$ . If  $\sigma = r1$  or  $r2$  for some  $r \in \mathcal{Q}$  then, by Definition 2.1,  $\sigma i, \sigma j \in \mathcal{Q} \leftrightarrow i, j = \bar{1}$  or  $\bar{2}$ , say  $i = \bar{1}$  and  $j = \bar{2}$ . Since  $l_{\sigma i} = l_{\sigma j} = 2n + 3$ , it follows by Theorem 2.7 that  $Y_{\sigma i} \cong Z_{2n+2}$  and  $Y_{\sigma j} \cong \bar{Z}_{2n+2}$ , so  $Y_{\sigma i} \cong \bar{Y}_{\sigma j}$ . If  $\sigma = r\bar{1}$  or  $r\bar{2}$ , the result again follows by considering complements.

**Lemma 2.9.** Let  $S$  be any infinite set  $\subseteq N$ . Then for all  $\sigma \in \mathcal{Q}$ , if  $i = 1, \bar{1}, 2, \bar{2}$  and  $\sigma i \in \mathcal{Q}$ ,  $X_\sigma \not\leq Y_{\sigma i}$ .

**Proof.** Case 1.  $l_\sigma = 2n + 1$ ,  $\sigma = 0$  or  $\bar{1}$  or  $\bar{2}$  for some  $\tau \in \mathcal{Q}$ . Then by Lemma 2.2,  $\emptyset \in A_\sigma^S$  and  $N \notin A_\sigma^S$ . By Definition 2.1,  $\sigma i \in \mathcal{Q} \rightarrow i = 1$  or  $\bar{2}$ .

*Subcase 1.1.*  $i = 1$ . Then by Theorem 2.7, since  $l_{\sigma i} = 2n + 2$ ,  $Y_{\sigma i} \cong Z_{2n+1}$ . It follows by Lemma 0.5 that  $\theta A \cong Y_{\sigma i} \rightarrow \bar{\theta A} \cong \bar{Z}_{2n+1} \rightarrow \emptyset \in \bar{A}$ . But this implies  $X_\sigma^S = \theta A_\sigma^S \not\leq Y_{\sigma i}$  since  $\emptyset \in A_\sigma^S$ .

*Subcase 1.2.*  $i = \bar{2}$ . Then by Theorem 2.7,  $Y_{\sigma i} = \bar{Z}_{2n+1}$ , so by Lemma 0.5,  $\theta A = Y_{\sigma i} \rightarrow \bar{\theta A} = Z_{2n+1} \rightarrow N \in A$ . But this implies  $X_\sigma^S = \theta A_\sigma^S \not\leq Y_{\sigma i}$ , since  $N \notin A_\sigma^S$ .

Case 2.  $l_\sigma = 2n + 1$ ,  $\sigma = \bar{0}$  or  $\bar{1}$  or  $\bar{2}$  for some  $\tau \in \mathcal{Q}$ . Then  $\bar{\sigma} = 0$  or  $\bar{\tau}\bar{1}$  or  $\bar{\tau}\bar{2}$  so, by Case 1,  $X_{\bar{\sigma}}^S \not\leq Y_{\bar{\sigma}i} = Y_{\bar{\sigma}i}$ . But this implies  $X_\sigma^S = \bar{X}_{\bar{\sigma}}^S \not\leq \bar{Y}_{\bar{\sigma}i} = Y_{\sigma i}$ .

Case 3.  $l_\sigma = 2n + 2$ ,  $\sigma = \bar{1}$  or  $\bar{2}$  for some  $\tau \in \mathcal{Q}$ . Then by Lemma 2.2,  $\emptyset \notin A_\sigma^S$  and  $N \notin A_\sigma^S$ . By Definition 2.1,  $\sigma i \in \mathcal{Q} \rightarrow i = \bar{1}$  or  $\bar{2}$ .

*Subcase 3.1.*  $i = \bar{1}$ . Then by Theorem 2.7,  $Y_{\sigma i} \cong Z_{2n+2}$ , so by Lemma 0.5,  $\theta A = Y_{\sigma i} \rightarrow \bar{\theta A} = \bar{Z}_{2n+2} \rightarrow \emptyset \in A$ . It follows that  $X_\sigma^S = \theta A_\sigma^S \not\leq Y_{\sigma i}$ , since  $\emptyset \notin A_\sigma^S$ .

*Subcase 3.2.*  $i = \bar{2}$ . Then by Theorem 2.7,  $Y_{\sigma i} \cong \bar{Z}_{2n+2}$ , so by Lemma 0.5,  $\theta A \cong Y_{\sigma i} \rightarrow \bar{\theta A} = Z_{2n+2} \rightarrow N \in A$ . It follows that  $X_\sigma^S = \theta A_\sigma^S \not\leq Y_{\sigma i}$ , since  $N \notin A_\sigma^S$ .

Case 4.  $l_\sigma = 2n + 2$ ,  $\sigma = \bar{1}$  or  $\bar{2}$  for some  $\tau \in \mathcal{Q}$ . Then  $\bar{\sigma} = \bar{\tau}\bar{1}$  or  $\bar{\tau}\bar{2}$ , so by Case 3,  $X_{\bar{\sigma}}^S \not\leq Y_{\bar{\sigma}i} = Y_{\bar{\sigma}i}$ . It follows that  $X_\sigma^S = \bar{X}_{\bar{\sigma}}^S \not\leq \bar{Y}_{\bar{\sigma}i} = Y_{\sigma i}$ .

**Lemma 2.10.** For all  $S$ ,  $S \leq X_0^S$ .

**Proof.** Recall that  $X_0^S = \{x \mid W_x \subseteq S\}$ , and let  $g$  be a recursive function such that  $\{n\} = W_{g(n)}$ , for all  $n$ . Then  $n \in S \leftrightarrow \{n\} \subseteq S \leftrightarrow g(n) \in X_0^S$ .

**Lemma 2.11.** Let  $S$  be any set such that  $\bar{S}$  is not r.e. Then for all  $\sigma \in \mathcal{Q}$ ,  $Y_\sigma < X_\sigma^S$ .

**Proof.** By Lemma 1.13,  $Y_\sigma \leq X_\sigma^S$ , so it suffices to prove  $X_\sigma^S \not\leq Y_\sigma$ , by induction on  $l_\sigma$ .

Case 1.  $l_\sigma = 1$ . Then  $\sigma = 0$  or  $\bar{0}$ . If  $\sigma = \bar{0}$ ,  $Y_\sigma \cong Z_{\bar{0}} = K$ , by Lemma 2.3; also  $\bar{S} \leq X_0^S = X_{\bar{0}}^S$ , by Lemma 2.10. Then  $X_{\bar{0}}^S \leq Y_{\bar{0}} \rightarrow \bar{S} \leq X_{\bar{0}}^S \leq K$  which implies  $\bar{S}$  is r.e., contrary to hypothesis. The result for  $\sigma = 0$  follows by symmetry.

Case 2.  $l_\sigma = k + 2$ ,  $k \geq 0$ . Assume the result holds for all  $\tau \in \mathcal{Q}$  such that  $l_\tau < l_\sigma$ , but that  $X_\sigma^S \leq Y_\sigma$ .

Since  $l_\sigma > 1$ ,  $\sigma = \tau i$  for some  $\tau \in \mathcal{Q}$ . By Lemmas 1.13 and 1.9(c),  $Y_\tau \leq X_\tau^S \leq X_{\tau i}^S \leq Y_{\tau i}$ . Since  $l_\tau = k + 1$ , it follows by Lemma 2.4(a) that  $Y_\tau \cong Z_k$  or  $\bar{Z}_k$  and  $Y_{\tau i} \cong Z_{k+1}$  or  $\bar{Z}_{k+1}$ . Then by Lemma 0.6,  $Y_\tau \leq X_\tau^S = \theta A_\tau^S \leq Y_{\tau i}$  implies  $Y_\tau \cong X_\tau^S$ .

or  $Y_{\tau i} \cong X_{\tau}^S$ . But the first of these contradicts the induction hypothesis and the latter contradicts Lemma 2.9.

**Theorem 2.12.** *Let  $S$  be any infinite set  $\subseteq N$  and let  $\sigma \in \mathcal{Q}$ . Then*

- (a)  $Z_0 \leq X_0^S$ ;
  - (b)  $\bar{Z}_0 \leq X_0^S$ ;
  - (c) if  $l_\sigma = 2n + 2$  and  $\sigma = \tau 1$  or  $\tau 2$  for some  $\tau \in \mathcal{Q}$ , then  $Z_{2n+1} \leq X_\sigma^S$ ;
  - (d) if  $l_\sigma = 2n + 2$  and  $\sigma = \tau \bar{1}$  or  $\tau \bar{1}$  for some  $\tau \in \mathcal{Q}$ , then  $\bar{Z}_{2n+1} \leq X_\sigma^S$ ;
  - (e) if  $l_\sigma = 2n + 3$  and  $\sigma = \tau \bar{1}$  or  $\tau 2$  for some  $\tau \in \mathcal{Q}$ , then  $Z_{2n+2} \leq X_\sigma^S$ ;
  - (f) if  $l_\sigma = 2n + 3$  and  $\sigma = \tau 1$  or  $\tau \bar{2}$  for some  $\tau \in \mathcal{Q}$ , then  $\bar{Z}_{2n+2} \leq X_\sigma^S$ .
- If, in addition,  $\bar{S}$  is not r.e., all the inequalities are strict.*

**Proof.** By Lemma 1.13, Theorem 2.7 and Lemma 2.11.

**Lemma 2.13.** *Let  $S$  be any infinite set such that  $K \not\leq_T S$ . Then for all  $\sigma \in \mathcal{Q}$ ,  $Y_{\bar{\sigma}} \not\leq X_\sigma^S$ .*

**Proof.** By induction on  $l_\sigma$ . For  $\sigma = 0$ ,  $Y_0 \cong \bar{K}$  by Lemma 2.3. That  $\bar{K} \not\leq X_0^S = \{x \mid W_x \cap \bar{S} \neq \emptyset\}$  if  $K \not\leq_T S$  was proved in [1, Theorem 3.5], by observing that  $X_0^S$  is r.e. in  $S$ , so that  $\bar{K} \leq X_0^S \rightarrow \bar{K}$  r.e. in  $S \rightarrow K \leq_T S$ , contrary to hypothesis. By symmetry,  $Y_{\bar{0}} \not\leq X_0^S$ . Now assume the result for all  $\tau \in \mathcal{Q}$  such that  $l_\tau < l_\sigma$ .

*Case 1.*  $\sigma = \tau 1$  or  $\tau \bar{1}$  for some  $\tau \in \mathcal{Q}$ . If  $\sigma = \tau 1$  then, by Lemma 1.9,  $X_\sigma^S \cong \bar{K} \times X_\tau^S$  and, by Lemma 2.2,  $\emptyset \in A_{\bar{\sigma}}^{\bar{R}} = A_\tau^{\bar{R}}$ . So  $Y_{\bar{\sigma}} \leq X_\sigma^S \rightarrow Y_{\bar{\sigma}} = \theta A_{\bar{\sigma}}^{\bar{R}} \leq \bar{K} \times X_\tau^S$  which by Lemma 0.7 implies  $Y_{\bar{\sigma}} \leq X_\tau^S$ . It follows by Lemma 2.4(b), since  $l_\tau < l_\sigma$ , that  $Y_{\bar{\tau}} < Y_{\bar{\sigma}} \leq X_\tau^S$ , which contradicts the induction hypothesis. If  $\sigma = \tau \bar{1}$  the result follows by complementation.

*Case 2.*  $\sigma = \tau 2$  or  $\tau \bar{2}$  for some  $\tau \in \mathcal{Q}$ . If  $\sigma = \tau 2$ , then by Lemma 1.9,  $X_\sigma^S \cong \bar{K} \times X_\tau^S$  and, by Lemma 2.2,  $N \in A_{\bar{\sigma}}^{\bar{R}} = A_\tau^{\bar{R}}$ . So  $Y_{\bar{\sigma}} \leq X_\sigma^S \rightarrow Y_{\bar{\sigma}} = \theta A_{\bar{\sigma}}^{\bar{R}} \leq \bar{K} \times X_\tau^S$  which by Lemma 0.8 implies  $Y_{\bar{\sigma}} \leq X_\tau^S$ . It follows by Lemma 2.4(b) that  $Y_{\bar{\tau}} < Y_{\bar{\sigma}} \leq X_\tau^S$ , which contradicts the induction hypothesis. The result for  $\sigma = \tau \bar{2}$  follows by complementation.

**Lemma 2.14.** *Let  $S$  be any infinite set  $\subseteq N$  such that  $K \not\leq_T S$ . Then for any index sequence  $\sigma$  and  $i = 1, \bar{1}, 2$  or  $\bar{2}$ ,  $\sigma \in \mathcal{Q}$  and  $\sigma i \in \mathcal{Q} \rightarrow X_{\sigma i}^S < X_\sigma^S$ .*

**Proof.** By Lemma 1.9,  $X_\sigma^S \leq X_{\sigma i}^S$  so it suffices to prove  $X_{\sigma i}^S \not\leq X_\sigma^S$ . Now by Lemma 2.4(b),  $Y_{\bar{\sigma}} \leq Y_{\sigma i}$  and, by Lemma 1.13,  $Y_{\sigma i} \leq X_{\sigma i}^S$ . Then  $X_{\sigma i}^S \leq X_\sigma^S$  implies  $Y_{\bar{\sigma}} \leq Y_{\sigma i} \leq X_{\sigma i}^S \leq X_\sigma^S$ , which contradicts Lemma 2.13.

**Lemma 2.15.** *Let  $S$  be any infinite set  $\subseteq N$  such that  $K \not\leq_T S$ , and let  $\sigma \in \mathcal{Q}$ . If for  $i, j = 1, \bar{1}, 2$  or  $\bar{2}$ ,  $\sigma i \in \mathcal{Q}$  and  $\sigma j \in \mathcal{Q}$ , then  $i \neq j \rightarrow X_{\sigma i}^S \mid X_{\sigma j}^S$ .*

**Proof.** By Lemma 1.13,  $Y_{\sigma i} \leq X_{\sigma i}^S$ , so to show  $X_{\sigma i}^S \not\leq X_{\sigma j}^S$  it suffices to prove  $Y_{\sigma i} \not\leq X_{\sigma j}^S$  for  $j \neq i$ . But, by Lemma 2.8,  $Y_{\sigma i} = \bar{Y}_{\sigma j} = Y_{\sigma j}^-$ , and by Lemma 2.13,  $Y_{\sigma j}^- \not\leq X_{\sigma j}^S$ , which implies  $X_{\sigma i}^S \not\leq X_{\sigma j}^S$ . The other half follows by symmetry.

### 3. Acceptable index functions.

**Definition 3.1.** Let  $f$  be a function,  $f: N \rightarrow \{0, \bar{0}, 1, \bar{1}, 2, \bar{2}\}$ . For each  $i \in N$ , let  $\sigma(i, f)$  be defined inductively as follows:

- (a)  $\sigma(0, f) = f(0)$ ,
- (b)  $\sigma(i+1, f) = \sigma(i, f) * f(i+1)$ .

If  $\sigma(i, f) = \sigma$  then  $\bar{\sigma}(i, f)$  denotes  $\bar{\sigma}$ .

**Definition 3.2.** Let  $f$  be a function,  $f: N \rightarrow \{0, \bar{0}, 1, \bar{1}, 2, \bar{2}\}$ .  $f$  is an *acceptable index function* (a.i.f.) if, for every  $i \in N$ ,  $\sigma(i, f) \in \mathcal{Q}$ .

Note that by this definition  $f$  is an a.i.f. only if  $f(0) \in \{0, \bar{0}\}$  and  $f(i) \in \{1, \bar{1}, 2, \bar{2}\}$  for all  $i > 0$ .

**Remark 1.** There exist continuum-many acceptable index functions such that  $f(0) = 0$  and continuum-many such that  $f(0) = \bar{0}$ . This is easily seen as follows: By Definition 2.1, 0 and  $\bar{0}$  are both in  $\mathcal{Q}$ , and as noted after Definition 2.1, for each  $\sigma \in \mathcal{Q}$  there are exactly two ways to extend  $\sigma$  to a sequence  $\sigma i \in \mathcal{Q}$ ; and there are  $c$  paths through an infinite tree which branches twice at each node.

**Lemma 3.3.** Let  $f$  be defined by  $f(0) = 0$ ,  $f(2n+1) = 1$ ,  $f(2n+2) = \bar{1}$ . Then  $f$  is an acceptable index function and, for each  $m$ ,  $Z_m \cong Y_{\sigma(m, f)}$ .

**Proof.** We show by induction on  $m$  that  $\sigma(m, f) \in \mathcal{Q}$  and  $Z_m \cong Y_{\sigma(m, f)}$ . For  $m = 0$ , the result holds since  $\sigma(0, f) = 0 \in \mathcal{Q}$  and  $Z_0 \cong Y_0$  by Theorem 2.7. Now assume the result holds for  $m$ .

*Case 1.*  $m+1$  is odd. Then  $\sigma(m+1, f) = \sigma(m, f) * f(m+1) = \sigma(m, f) * 1$ , and  $Y_{\sigma(m, f)} \cong Z_m$  implies  $\sigma(m, f) = 0$  or  $\tau\bar{1}$  or  $\tau 2$  for some  $\tau \in \mathcal{Q}$ , by Theorem 2.7(a) and (e). Since  $l_{\sigma(m, f)} = m+1$  is odd, it follows by Definition 2.1 that  $\sigma(m+1, f) = \sigma(m, f) * 1 \in \mathcal{Q}$ , and by Theorem 2.7(c) that  $Y_{\sigma(m+1, f)} \cong Z_{m+1}$ .

*Case 2.*  $m+1$  is even. Then  $\sigma(m+1, f) = \sigma(m, f) * f(m+1) = \sigma(m, f) * \bar{1}$ , and  $Y_{\sigma(m, f)} \cong Z_m$  implies  $\sigma(m, f) = \tau 1$  or  $\tau 2$  for some  $\tau \in \mathcal{Q}$ , by Theorem 2.7(c). Since  $l_{\sigma(m, f)} = m+1$  is even, it follows by Definition 2.1 that  $\sigma(m+1, f) = \sigma(m, f) * \bar{1} \in \mathcal{Q}$ , and by Theorem 2.7(e) that  $Y_{\sigma(m+1, f)} \cong Z_{m+1}$ .

### 4. Discrete $\omega$ -sequences.

**Definition 4.1.** Let  $\{A_n\}_{n \geq 0}$  be a sequence of classes of r.e. sets. The sequence  $\{\theta A_n\}_{n \geq 0}$  is a *discrete  $\omega$ -sequence of index sets* iff

- (a)  $\theta A_n < \theta A_{n+1}$  for each  $n$ ;
- (b) for any class  $B$  of r.e. sets and each  $n$ ,  $\theta A_n \leq \theta B \leq \theta A_{n+1}$  implies

$$\theta B \cong \theta A_n \text{ or } \theta B \cong \theta A_{n+1}.$$

**Definition 4.2** A discrete  $\omega$ -sequence of 1-degrees denotes the sequence of 1-degrees of a discrete  $\omega$ -sequence of index sets.

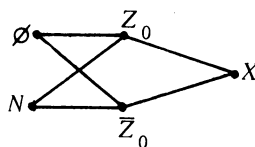
Evidently two different sequences of sets may determine the same sequence of 1-degrees.

**Definition 4.3.** If  $S$  is a set and  $f$  an acceptable index function, the sequence  $\{\theta A_{\sigma(n,f)}\}_{n \geq 0}$  is the  $S$ -sequence of index sets determined by  $f$ . The corresponding sequence of 1-degrees is the  $S$ -sequence of 1-degrees determined by  $f$ .

**Lemma 4.4.** The 1-degrees of  $Z_0$  and  $\overline{Z_0}$  are each at the bottom of  $c$  discrete  $\omega$ -sequences of 1-degrees, each contained in the bounded truth-table degree of  $Z_0$ .

**Proof.** Let  $\{X_m\}_{m \geq 0}$  be any sequence such that  $X_0 = Z_0$ ,  $X_m = Z_m$  or  $\overline{Z}_m$  for each  $m > 0$ . Then by Lemmas 0.4 and 0.6, each such sequence is a discrete  $\omega$ -sequence. Since  $Z_m \not\equiv \overline{Z}_m$ , it follows as in Remark 1 that there are  $c$  such sequences and that distinct sequences determine distinct sequences of degrees; similarly if  $X_0 = \overline{Z}_0$ . That the sequences are contained in the btt-degree of  $Z_0$  follows from Lemma 0.3 and the fact that  $Z_0 = \overline{K}$ , as in the proof of Lemma 1.10.

**Lemma 4.5.** Let  $X = Z_1$  or  $\overline{Z}_1$ . Then



is an initial segment of the partial ordering of index sets under one-one reducibility.

**Proof.** Let  $B$  be any class of r.e. sets. It is well known that  $\emptyset, N < K, \overline{K}$ , which together with Lemma 0.4, implies  $\emptyset, N < Z_0, \overline{Z}_0 < X$ . Assume  $B$  is a class of r.e. sets such that  $\theta B \leq X$ . We will show that  $\theta B \cong \emptyset, N, Z_0$  or  $\overline{Z}_0$ .

Case 1.  $B = \emptyset$  or  $\overline{B} = \emptyset$ . Then  $\theta B = \emptyset$  or  $\theta B = N$ , respectively.

Case 2.  $B \neq \emptyset$  and  $\overline{B} \neq \emptyset$ . If  $\emptyset \in B$ , then by Lemma 0.1,  $\overline{K} = Z_0 \leq \theta B \leq X$ . So by Lemma 0.6,  $\theta B \cong Z_0$  or  $\theta B \cong X$ . If  $\emptyset \notin B$ , then by Lemma 0.1,  $\overline{K} = Z_0 \leq \theta \overline{B} \leq \overline{X}$  so, by Lemma 0.6,  $\theta \overline{B} \cong Z_0$  or  $\theta \overline{B} \cong \overline{X}$ . It follows that  $\theta B \cong \overline{Z}_0$  or  $\theta B \cong X$ , which completes the proof.

**Remark 2.** Lemma 4.5 cannot be strengthened by replacing  $Z_1$  by  $Z_m$  for  $m > 1$ ; i.e., we can prove that, for all  $m > 1$ ,  $Z_m$  has a predecessor  $\theta B$  such that  $\theta B \not\equiv Z_k$  or  $\overline{Z}_k$  for any  $k < m$ . The proof will appear elsewhere [7].

**Theorem 4.6.** If  $S$  is co-r.e., then the 1-degrees of  $\theta A_0^S$  and  $\theta \overline{A}_0^S$  are at the bottom of  $c$  discrete  $\omega$ -sequences of 1-degrees. If  $S \neq N$ , these sequences are all contained in the bounded truth-table degree of  $\theta A_0^S$ .

**Proof.** Case 1.  $S \neq N$ . Then  $\theta\bar{A}_0^S = \{x \mid W_x \cap \bar{S} \neq \emptyset\}$  is r.e. and  $N \in \theta\bar{A}_0^S$ , so  $\theta\bar{A}_0^S \leq K$  and, by Lemma 0.1,  $K \leq \theta\bar{A}_0^S$ . So  $\theta\bar{A}_0^S \cong K = \bar{Z}_0$  and  $\theta A_0^S \cong Z_0$ . The conclusion then follows from Lemma 4.4.

Case 2.  $S = N$ . Then  $\theta A_0^S = N$  and  $\theta\bar{A}_0^S = \emptyset$ . Let  $\{X_m\}_{m \geq 0}$  be any sequence such that  $X_0 = N$ ,  $X_{m+1} = Z_m$  or  $\bar{Z}_m$  for all  $m$ . As in the proof of Lemma 4.4, there are  $c$  such sequences, and similarly if  $X_0 = \emptyset$ . These sequences are discrete, by Lemmas 4.4 and 4.5, and determine distinct sequences of degrees since  $X_m \cong \bar{X}_m$ , for all  $m$ .

**Theorem 4.7.** Let  $S$  be any infinite set  $\subseteq N$  such that  $K \not\leq_T S$ . If  $f$  is any acceptable index function, the  $S$ -sequence of index sets determined by  $f$  is a discrete  $\omega$ -sequence of index sets, all contained in the bounded truth-table degree of  $\theta A_0^S$ .

**Proof.** Let  $\theta A_n$  denote  $\theta A_{\sigma(n,f)}^S$ . By Lemma 1.10, each  $\theta A_n$  is in the btt-degree of  $\theta A_0^S$ . By Lemma 2.14,  $\theta A_n = X_{\sigma(n,f)}^S < X_{\sigma(n+1,f)}^S = \theta A_{n+1}$ , since  $\sigma(n+1, f) = \sigma(n, f) * i$  and  $\sigma(n, f), \sigma(n+1, f) \in \mathcal{U}$ . It remains to show the sequence is discrete. Assume  $\theta A_n \leq \theta B \leq \theta A_{n+1}$ .

Case 1.  $f(n+1) = 1$ . Then  $\sigma(n+1, f) = \sigma(n, f) * 1$ , so by Lemma 1.9,  $\theta A_{n+1} = X_{\sigma(n+1,f)}^S \cong K \times X_{\sigma(n,f)}^S = K \times \theta A_n$ . But by Lemma 0.9,  $\theta A_n \leq \theta B \leq \theta A_{n+1} \cong K \times \theta A_n$  implies  $\theta B \cong \theta A_n$  or  $\theta B \cong \theta A_{n+1}$ .

Case 2.  $f(n+1) = 2$ . Then  $\sigma(n+1, f) = \sigma(n, f) * 2$  so by Lemma 1.9,  $\theta A_{n+1} = X_{\sigma(n+1,f)}^S \cong \bar{K} \times X_{\sigma(n,f)}^S = \bar{K} \times \theta A_n$ . Then by Lemma 0.10,  $\theta A_n \leq \theta B \leq \theta A_{n+1} \cong \bar{K} \times \theta A_n$  implies  $\theta B \cong \theta A_n$  or  $\theta B \cong \theta A_{n+1}$ .

Case 3.  $f(n+1) = \bar{1}$  or  $\bar{2}$ . Then  $\sigma(n+1, f) = \sigma(n, f) * i$  where  $i = 1$  or  $2$ , and  $\theta A_n \leq \theta B \leq \theta A_{n+1}$  implies  $\theta\bar{A}_n \leq \theta\bar{B} \leq \theta\bar{A}_{n+1}$  where  $\theta\bar{A}_n = X_{\bar{\sigma}(n,f)}^S$  and  $\theta\bar{A}_{n+1} = X_{\bar{\sigma}(n+1,f)}^S = X_{\bar{\sigma}(n,f) * i}^S$ , where  $i = 1$  or  $2$ . By Cases 1 and 2, replacing  $\sigma$  by  $\bar{\sigma}$  (since  $\sigma \in \mathcal{U} \leftrightarrow \bar{\sigma} \in \mathcal{U}$ ),  $\theta\bar{A}_n \leq \theta\bar{B} \leq \theta\bar{A}_{n+1}$  implies  $\theta\bar{B} \cong \theta\bar{A}_n$  or  $\theta\bar{B} \cong \theta\bar{A}_{n+1}$ . The result follows by complementation.

**Lemma 4.8.** Let  $S$  be any infinite set  $\subseteq N$  such that  $K \leq_T S$ . If  $f$  and  $g$  are acceptable index functions which determine the same  $S$ -sequence of 1-degrees, then  $f = g$ .

**Proof.** Assume  $f \neq g$ . Then  $f(k) \neq g(k)$  for some  $k \in N$ . Let  $n$  be the least such  $k$ . It will suffice to show that  $\theta A_{\sigma(n,f)}^S \not\cong \theta A_{\sigma(n,g)}^S$ .

Case 1.  $n = 0$ . Since  $f, g$  are a.i.f.'s,  $f(0) \in \{0, \bar{0}\}$  and  $g(0) \in \{0, \bar{0}\}$ ; since  $f(0) \neq g(0)$ , assume  $f(0) = 0$  and  $g(0) = \bar{0}$ . Then,  $\theta A_{\sigma(0,f)}^S = X_0^S$  and  $\theta A_{\sigma(0,g)}^S = X_0^S = \bar{X}_0^S$ , and, by Lemma 1.11,  $X_0^S \not\cong \bar{X}_0^S$ .



*Case 2.*  $n = m + 1$  for some  $m \geq 0$ . Then since  $n$  is the least  $k$  such that  $f(k) \neq g(k)$ ,  $\sigma(m, f) = \sigma(m, g)$ ; let  $\tau$  denote this common index sequence. Then  $\sigma(n, f) = \sigma(m, f) * f(m + 1) = \tau i$  and  $\sigma(n, g) = \sigma(m, g) * g(m + 1) = \tau j$  where  $i \neq j$  by hypothesis. Since  $f, g$  are a.i.f.'s,  $\tau i \in \mathcal{U}$  and  $\tau j \in \mathcal{U}$ . Then by Lemma 2.15,  $\theta A_{\sigma(n, f)}^S = X_{\tau i}^S \neq X_{\tau j}^S = \theta A_{\sigma(n, g)}^S$ .

**Theorem 4.9.** *Let  $S$  be any set such that  $K \not\leq_T S$ . Then the 1-degrees of  $\theta A_0^S$  and  $\overline{\theta A_0^S}$  are at the bottom of  $c$  discrete  $\omega$ -sequences of 1-degrees. If  $S \neq N$ , these sequences are contained in the bounded truth-table degree of  $\theta A_0^S$ .*

**Proof.** *Case 1.*  $S$  is finite or  $S = N$ . Then  $S$  is co-r.e., so the result follows from Theorem 4.6.

*Case 2.*  $S$  is infinite,  $S \subsetneq N$ . By Remark 1, there are  $c$  acceptable index functions such that  $f(0) = 0$ , and  $c$  such that  $f(0) = \bar{0}$ . By Lemma 4.8, these functions determine different  $S$ -sequences of 1-degrees. By Theorem 4.7, these sequences are discrete are contained in the btt-degree of  $\theta A_0^S$ .

**Definition 4.10.** For any set  $P$ , let

- (a)  $P' = \{x \mid x \in W_x^P\}$ ,
- (b)  $P_0 = \{\langle u, v \rangle \mid D_u \subseteq P \text{ and } D_v \subseteq \bar{P}\}$ ,
- (c)  $P^* = X_0^{\bar{P}0} = \{x \mid W_x \cap P_0 \neq \emptyset\}$ .

**Lemma 4.11.** *For all sets  $P$ ,  $P \leq P_0$  and  $P_0 \leq_{tt} P$ .*

**Proof.** Let  $D_0 = \emptyset$  and let  $g(n)$  be a recursive function such that  $D_{g(n)} = \{n\}$  for each  $n$ . Then  $P \leq P_0$  via  $h(n) = \langle g(n), 0 \rangle$ . It is easily seen that  $P_0 \leq_{tt} P$  via (unbounded) truth-tables.

**Lemma 4.12.** *For all sets  $P$ ,  $P' \cong P^*$ .*

**Proof.**  $P^*$  is r.e. in  $P$ , which implies  $P^* \leq P'$ . Let  $g(x)$  be a recursive function defined by  $W_{g(x)} = \{\langle u, v \rangle \mid (\exists y) (\langle x, y, u, v \rangle \in W_{\rho(x)})\}$  where  $\rho(x)$  is as in [6, p. 132]. It is easily verified that  $P' \leq P^*$  via  $g$ .

**Lemma 4.13.** *Let  $a$  be any Turing degree such that  $0' \leq a$ . Then there exists a set  $P$  such that*

- (a)  $P$  is not r.e.,
- (b)  $K \not\leq_T P$ ,
- (c)  $P' \in a$ .

**Proof.** Assume  $0' \leq a$ .

*Case 1.*  $0' < a$ . By Friedberg's Theorem [6, Corollary 13-IX(a)], there exists  $b$  such that  $b' = b \cup 0' = a$ . Clearly  $0' \not\leq b$ , while  $b \leq 0'$  implies  $a = 0'$ . So  $b \mid 0'$ , and any  $P \in b$  will satisfy the conditions of the lemma.

*Case 2.*  $0' = a$ . It is a well-known fact (proved by Friedberg) that there exists  $d$  such that  $0 < d$  and  $d' = 0'$ ; any such  $d$  contains a non-r.e. set  $P$ , and for such a  $P$ ,  $K \not\leq_T P$ .

**Lemma 4.14.** *Let  $S$  be any infinite set such that  $\bar{S}$  is not r.e. and  $K \not\leq_T S$ , and let  $f$  be any acceptable index function. Then the  $S$ -sequence of 1-degrees determined by  $f$  does not contain the 1-degree of  $Z_m$  or  $\bar{Z}_m$  for any  $m \geq 0$ .*

**Proof.** It must be shown that for all  $m, n$ ,  $X_{\sigma(n,f)}^S \not\cong Z_m$  or  $\bar{Z}_m$ . Since by Theorem 2.7, each  $Z_m, \bar{Z}_m \cong Y_\tau$  for some  $\tau \in \mathcal{Q}$ , it suffices to show that  $X_\sigma^S \not\cong Y_\tau$  for any  $\sigma, \tau \in \mathcal{Q}$ .

*Case 1.*  $l_\sigma < l_\tau$ . Then by Lemma 2.4,  $Y_{\bar{\sigma}} < Y_\tau$ , so  $X_\sigma^S \cong Y_\tau$  implies  $Y_{\bar{\sigma}} < X_\sigma^S$ , contradicting Lemma 2.13.

*Case 2.*  $l_\sigma > l_\tau$ . Then by Lemma 2.4,  $Y_\tau < Y_\sigma$ , and by Lemma 2.11,  $Y_\sigma < X_\sigma^S$ . So  $Y_\tau < X_\sigma^S$  which implies  $X_\sigma^S \not\cong Y_\tau$ .

*Case 3.*  $l_\sigma = l_\tau$ . Assume  $X_\sigma^S \cong Y_\tau$ . By Lemma 2.4(a),  $Y_\tau \cong Z_{l_{\tau-1}}$  or  $\bar{Z}_{l_{\tau-1}}$ , so  $X_\sigma^S \cong Z_{l_{\tau-1}}$  or  $\bar{Z}_{l_{\tau-1}}$ . Also by Lemma 2.4(a),  $Y_\sigma \cong Z_{l_{\sigma-1}}$  or  $\bar{Z}_{l_{\sigma-1}}$  and, since  $l_\sigma = l_\tau$ ,  $Z_{l_{\sigma-1}} = Z_{l_{\tau-1}}$ . It follows that  $X_\sigma^S \cong Y_\sigma$  or  $\bar{Y}_\sigma$ . But, by Lemma 2.11,  $Y_\sigma < X_\sigma^S$  and, by Lemma 2.13,  $\bar{Y}_\sigma = Y_{\bar{\sigma}} \not\leq X_\sigma^S$ . Thus either way we get a contradiction.

**Theorem 4.15.** *Let  $a$  be any Turing degree such that  $0' \leq a$ . Then  $a$  contains a discrete  $\omega$ -sequence of 1-degrees, none of whose elements are 1-degrees of  $Z_m$  or  $\bar{Z}_m$  for any  $m$ .*

**Proof.** Assume  $a \geq 0'$ . By Lemma 4.13, there is a set  $P$  such that  $P$  is not r.e.,  $K \leq_T P$  and  $P' \in a$ . Now by Lemma 4.12,  $P^* = X_0^{\bar{P}_0} \cong P'$ , so  $X_0^{\bar{P}_0} \in a$ . By Lemma 4.11,  $P \leq P_0$  and  $P_0 \leq_T P$ . It follows that  $P$  not r.e.  $\rightarrow P_0$  not r.e., and that  $K \not\leq_T P \rightarrow K \leq_T P_0$ . The bounded truth-table degree of  $\theta A_0^{\bar{P}_0}$  is then contained in  $a$ , so that by Theorem 4.9,  $a$  contains a discrete  $\omega$ -sequence of 1-degrees and by Lemma 4.14, these  $\omega$ -sequences do not contain the 1-degree of  $Z_m$  or  $\bar{Z}_m$  for any  $m$ .

In [3] it was conjectured that for each  $m \geq 0$ , there exists a class  $A$  with  $Z_m < \theta A$  and  $\bar{Z}_m \not\leq \theta A$ . The present technique yields the following stronger result:

**Theorem 4.16.** *Every Turing degree  $a \geq 0'$  contains a discrete  $\omega$ -sequence  $\{\theta A_m\}_{m \geq 0}$  of index sets such that, for each  $m$ ,  $Z_m < \theta A_m$  and  $\bar{Z}_m \not\leq \theta A_m$ .*

**Proof.** Assume  $a \geq 0'$ , and let  $P_0$  be as in Theorem 4.15, i.e.,  $P_0$  is not r.e.,  $K \not\leq_T P_0$  and  $X_0^{\bar{P}_0} \in a$ . By Lemma 3.4, there exists an acceptable index

function  $f$  such that, for all  $m \geq 0$ ,  $Z_m \cong Y_{\sigma(m,f)}$ . Let  $A_m = A_{\sigma(m,f)}^{P_0}$ . Then by Theorem 4.7,  $\{\theta A_m\}_{m \geq 0}$  is a discrete  $\omega$ -sequence of index sets contained in  $\mathbf{a}$ ; for each  $m$ ,  $Z_m \cong Y_{\sigma(m,f)} < \theta A_{\sigma(m,f)}^{\overline{P_0}} = \theta A_m$ , by Lemma 2.11; and  $\bar{Z}_m \cong Y_{\bar{\sigma}(m,f)} \not\leq \theta A_{\sigma(m,f)}^{\overline{P_0}} = \theta A_m$ , by Lemma 2.13.

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